

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Application Number	10/817,212
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Filing Date	April 2, 2004
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First Named Inventor	Steven R. Kleiman
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Art Unit	2187
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Examiner Name	Than Vinh Nguyen
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Sheet	1	of	2	Attorney Docket Number	112056-0126D1
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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)				
	3	WO 93/13478	07-08-1993	Compaq Computer Corp.		

Date
Considered

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Substitute for form 1449/PTO <div style="text-align: center;"> INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> </div>				Complete if Known	
				Application Number	10/817,212
				Filing Date	April 2, 2004
				First Named Inventor	Steven R. Kleiman
				Group Art Unit	2187
				Examiner Name	Than Vinh Nguyen
Sheet	2	of	2	Attorney Docket Number	112056-0126D1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	4	"Defendant Sun Microsystems, Inc.'s Amended Answer and Counterclaim to Plaintiff Network Appliance, Inc.'s Complaint for Patent Infringement", filed on March 24, 2009 in United States District Court, Northern District of California, San Francisco Division, Case No. 3:07-CV-06053-EDL, 58 pages.	
	5	"NetApp, Inc.'s Amended Reply to Sun's Amended Answer and Counterclaims", filed on April 2, 2009 in United States District Court, Northern District of California, San Francisco Division, Case No. 3:07-CV-06053-EDL, 40 pages.	
	6	"NetApp, Inc.'s Second Amended Reply to Sun's Amended Answer and Counterclaims", filed September 9, 2009 in United States District Court, Northern District of California, San Francisco Division, Case No. 3:07-CV-06053-EDL, 40 pages.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
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